

Format of holding of specified securities

Annexure - I

- 1 **Name of Listed Entity : GLOBAL FINANCE & SECURITIES LTD.**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30/09/2020**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	15	2215500	0	0	2215500	44.308	2215500	0	2215500	44.308	0	44.308	0	0.000	0	0.000	1451800
(B)	Public	3325	2784700	0	0	2784700	55.692	2784700	0	2784700	55.692	0	55.692	0	0.000	0	0.000	824100
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	3340	5000200	0		5000200	100.000	5000200	0	5000200	100.000	0	100.000	0	0.000	0	0.000	2275900

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a %	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family		13	2147500	0	0	2147500	42.948	2147500	0	2147500	42.948	0	42.948	0	0.000	0	0.000	1451800
	ANIL NAHATA		0	30000	0	0	30000	0.600	30000	0	30000	0.600	0	0.600	0	0.000	0	0.000	0
	AVINASH NAHATA		0	30000	0	0	30000	0.600	30000	0	30000	0.600	0	0.600	0	0.000	0	0.000	0
	BALCHAND SINGHI	ASJPS2127B	0	216500	0	0	216500	4.330	216500	0	216500	4.330	0	4.330	0	0.000	0	0.000	0
	HATHI MAL NAHATA		0	80100	0	0	80100	1.602	80100	0	80100	1.602	0	1.602	0	0.000	0	0.000	0
	KAMAL SINGHI	AMIPS2603P	0	50100	0	0	50100	1.002	50100	0	50100	1.002	0	1.002	0	0.000	0	0.000	50100
	MADHU SINGHI	ALSPS5065L	0	50000	0	0	50000	1.000	50000	0	50000	1.000	0	1.000	0	0.000	0	0.000	50000
	PRAKASH CHAND NAHATA		0	80000	0	0	80000	1.600	80000	0	80000	1.600	0	1.600	0	0.000	0	0.000	0
	RAJ KUMAR NAHATA	ABMPN8448E	0	693400	0	0	693400	13.867	693400	0	693400	13.867	0	13.867	0	0.000	0	0.000	628300
	SARIKA NAHATA	ABQPN3099M	0	30000	0	0	30000	0.600	30000	0	30000	0.600	0	0.600	0	0.000	0	0.000	30000
	SAROJ DEVI NAHATA		0	30000	0	0	30000	0.600	30000	0	30000	0.600	0	0.600	0	0.000	0	0.000	0
	SHESH KARAN SINGHI	AKLPS6473J	0	693400	0	0	693400	13.867	693400	0	693400	13.867	0	13.867	0	0.000	0	0.000	693400
	SUMAN NAHATA		0	50000	0	0	50000	1.000	50000	0	50000	1.000	0	1.000	0	0.000	0	0.000	0
	SUMAN SINGHI		0	114000	0	0	114000	2.280	114000	0	114000	2.280	0	2.280	0	0.000	0	0.000	0
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		2	68000	0	0	68000	1.360	68000	0	68000	1.360	0	1.360	0	0.000	0	0.000	0
	RACHYITA INVESTMENT PVT. LTD.		0	48000	0	0	48000	0.960	48000	0	48000	0.960	0	0.960	0	0.000	0	0.000	0
	SAMRAT MERCANTILE PVT. LTD.		0	20000	0	0	20000	0.400	20000	0	20000	0.400	0	0.400	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		15	2215500	0	0	2215500	44.308	2215500	0	2215500	44.308	0	44.308	0	0.000	0	0.000	1451800
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		15	2215500	0	0	2215500	44.308	2215500	0	2215500	44.308	0	44.308	0	0.000	0	0.000	1451800

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		2	83500	0	0	83500	1.670	83500	0	83500	1.670	0	1.670	0	0.000	0	0.000	0
	CRB TRUSTEE LTD A/C CRB MUTUAL FUND		0	73400	0	0	73400	1.468	73400	0	73400	1.468	0	1.468	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		2	83500	0	0	83500	1.670	83500	0	83500	1.670	0	1.670	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		3263	1489800	0	0	1489800	29.795	1489800	0	1489800	29.795	0	29.795	0	0.000	0	0.000	392400

	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		4	363900	0	0	363900	7.278	363900	0	363900	7.278	0	7.278	0	0.000	0	0.000	88900
	PUSHRAJ SINGHI	AMIPS2602N	0	58900	0	0	58900	1.178	58900	0	58900	1.178	0	1.178	0	0.000	0	0.000	58900
	RAKHEE SHYAMSUKHA		0	250000	0	0	250000	5.000	250000	0	250000	5.000	0	5.000	0	0.000	0	0.000	0
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		52	846800	0	0	846800	16.935	846800	0	846800	16.935	0	16.935	0	0.000	0	0.000	342100
	GDB SHARE & STOCK BROKING SERVICES LTD.		0	133000	0	0	133000	2.660	133000	0	133000	2.660	0	2.660	0	0.000	0	0.000	0
	GDB SHARE AND STOCK BROKING SERVICES LIM	AAACG9733B	0	67000	0	0	67000	1.340	67000	0	67000	1.340	0	1.340	0	0.000	0	0.000	67000
	HUNGERFORD CONSULTANTS PRIVATE LIMITED .	AABCH9345K	0	80500	0	0	80500	1.610	80500	0	80500	1.610	0	1.610	0	0.000	0	0.000	80500
	M H FINANCE AND MERCANTILE PVT LTD		0	83400	0	0	83400	1.668	83400	0	83400	1.668	0	1.668	0	0.000	0	0.000	0
	PAGARIA HOLDING PRIVATE LIMITED	AAF3996C	0	67500	0	0	67500	1.350	67500	0	67500	1.350	0	1.350	0	0.000	0	0.000	67500
	VICCO PRODUCTS BOMBAY PVT LTD	AAACV4446N	0	65600	0	0	65600	1.312	65600	0	65600	1.312	0	1.312	0	0.000	0	0.000	65600
	WELLWORTH MULTYFIN (P) LTD		0	98400	0	0	98400	1.968	98400	0	98400	1.968	0	1.968	0	0.000	0	0.000	0
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Non-Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memembers		4	700	0	0	700	0.014	700	0	700	0.014	0	0.014	0	0.000	0	0.000	700
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		3323	2701200	0	0	2701200	54.022	2701200	0	2701200	54.022	0	54.022	0	0.000	0	0.000	824100
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3325	2784700	0	0	2784700	55.692	2784700	0	2784700	55.692	0	55.692	0	0.000	0	0.000	824100

