

Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrp Code:

Shareholding Pattern Filed under: Reg. 31 (1) (b) Quarter Ended: 30/06/2019

Particulars	Yes	No
1 Whether the Listed Equity has issued any Partly Paid up shares?		No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4 Whether the Listed Equity has any shares in Locked-in?		No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?		No

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity Shares Held	No. of Partly Paid-up Equity Shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding (Calculated as per SCRB, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (XI)=(VII)+(X)	Number of Locked in shares (XII)	Number of Shares Registered or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
									Class X	Class Y	Total					
(1)	Indian															
(a)	Individuals/Hindu undivided Family		13	2148000			2148000	42.958	2148000	2148000	42.958	42.958			1452300	
	ANIL NAHATA			30000			30000	0.600	30000	30000	0.600	0.600				
	AVINASH NAHATA			30000			30000	0.600	30000	30000	0.600	0.600				
	BALCHAND SINGHI	ASJPS2127B		2165000			2165000	4.330	2165000	2165000	4.330	4.330				
	HATHE MAL NAHATA			80100			80100	1.602	80100	80100	1.602	1.602				
	KAMAL SINGHI	AMIP2603P		50100			50100	1.002	50100	50100	1.002	1.002			50100	
	MADHU SINGHI	ALSP5065L		50000			50000	1.000	50000	50000	1.000	1.000			50000	
	PRAKASH CHAND NAHATA			80000			80000	1.600	80000	80000	1.600	1.600				
	RAJ KUMAR NAHATA	ABMPN8448E		693400			693400	13.867	693400	693400	13.867	13.867			628300	
	SARIKA NAHATA	ABQPN3099M		30000			30000	0.600	30000	30000	0.600	0.600			30000	
	SAROJ DEVI NAHATA			30000			30000	0.600	30000	30000	0.600	0.600				
	SHESH KARAN SINGHI	AKLPS6473J		693900			693900	13.877	693900	693900	13.877	13.877			693900	
	SUMAN NAHATA			50000			50000	1.000	50000	50000	1.000	1.000				
	SUMAN SINGHI			114000			114000	2.280	114000	114000	2.280	2.280				
(b)	Central Government/State Government (s)															
(c)	Financial Institutions/Banks															
(d)	Any Other Bodies Corporate		2	68000			68000	1.360	68000	68000	1.360	1.360				
	RACHYITA INVESTMENT PVT. LTD.			48000			48000	0.960	48000	48000	0.960	0.960				
	SAMRAT MERCANTILE PVT. LTD.			20000			20000	0.400	20000	20000	0.400	0.400				
	Trusts															
	Clearing Members															
	Sub-Total (A) (1)		15	2216000			2216000	44.318	2216000	2216000	44.318	44.318			1452300	
(2)	Foreign															
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)															
(b)	Government															
(c)	Institutions															
(d)	Foreign Portfolio Investor															
(e)	Any Other OCBs															
	Sub-Total (A) (2)															
	Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2)		15	2216000			2216000	44.318	2216000	2216000	44.318	44.318			1452300	

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up shares	No. of Partly Paid-up shares	No. of Underlying Shares	Total Nos. of Shares	Shareholding (Calculated as per SCRB, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying (X)	Shareholding as % assuming full conversion of securities (including Warrants) (XI) = (VII)+(X)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
									Class X	Class Y	Total					
(1)	Institutions															
(a)	Mutual Funds		2	83500			83500	1.670	83500	83500	1.670					
	CRB TRUSTEE LTD A/C CRB MUTUAL FUND			73400			73400	1.468	73400	73400	1.468					
(b)	Venture Capital Funds															
(c)	Alternate Investment Funds															
(d)	Foreign Venture Capital Investors															
(e)	Foreign Portfolio Investors															
(f)	Financial Institutions/Banks															
(g)	Insurance Companies															
(h)	Provident Funds/Pension Funds															
(i)	Any Other Foreign Institutional Investors															
	QFI - Corporate															
	Sub-Total (B) (1)		2	83500			83500	1.670	83500	83500	1.670					
(2)	Central Government/State Government (a)/President of India															
	Sub-Total (B) (2)															
(3)	Non-Institutions															
(a)	Individuals															
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		3262	1489100			1489100	29.781	1489100	1489100	29.781					375200
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		4	363900			363900	7.278	363900	363900	7.278					30000
	FUSHAJ SINGHI			58900			58900	1.178	58900	58900	1.178					
	AMIP2602N RAKHEE SHYAMSUKHA			250000			250000	5.000	250000	250000	5.000					
(b)	NBFCs registered with RBI															
(c)	Employee Trusts															
(d)	Overseas Depositors (holding DRs) (balancing figure)															
(e)	Any Other Bodies Corporate		52	846800			846800	16.935	846800	846800	16.935					342100
	GDB SHARE & STOCK BROKING SERVICES LTD.			133000			133000	2.660	133000	133000	2.660					
	GDB SHARE AND STOCK BROKING SERVICES LIM			67000			67000	1.340	67000	67000	1.340					67000
	HUNGERFORD CONSULTANTS PRIVATE LIMITED			80500			80500	1.610	80500	80500	1.610					80500
	M H FINANCE AND MERCANTILE PRIVATE LTD			83400			83400	1.668	83400	83400	1.668					
	PAGARIA HOLDING PRIVATE LIMITED			67500			67500	1.350	67500	67500	1.350					67500
	VICCO PRODUCTS BOMBAY PRIVATE LIMITED			65600			65600	1.312	65600	65600	1.312					65600

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (including Warrants)	Shareholding as % assuming full conversion of convertible securities (XI)=(VII)+(X)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									Class X	Class Y	Total	Total as a % of total voting Rights					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)										
Total Non-Promoter - Non-Public Shareholding (C)=(C) (I)+(C) (2)																	

- (1) Custodian, DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014