

Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrip Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 30/09/2018

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Particulars	Yes No
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1 Whether the Listed Equity has issued any Partly Paid up shares?	No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?	No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?	No
4 Whether the Listed Equity has any shares in Locked-in?	No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?	No
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TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Name of shareholders	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding	Shareholding as % assuming full conversion of convertible securities (including Warrants) (X)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
								Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)								
(A)	Promoter & Promoter Group	15	2202900			2202900	44.056	2202900		2202900	44.056			1059700	
(B)	Public	3347	2797300			2797300	55.944	2797300		2797300	55.944			596400	
(C)	Non Promoter - Non Public														
(C1)	Shares underlying DRs														
(C2)	Shares held by Employee Trusts														
<b>TOTAL</b>		<b>3362</b>	<b>5000200</b>			<b>5000200</b>	<b>100.000</b>	<b>5000200</b>		<b>5000200</b>	<b>100.000</b>			<b>1656100</b>	

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held (IV)	No. of Partly Paid-up Equity shares Held (V)	No. of Underlying Depository Receipts (VI)	No. of Shares Held (VII) = (IV) + (V) + (VI)	Total Nos. Shares Held (VIII)	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding (X)	Shareholding as % assuming full conversion of convertible securities (XI) = (VII) + (X) as a % of (A) + (B) + (C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
										No. of Voting Rights							
										Class X	Class Y	Total					
(1) Indian																	
(a) Individuals/Hindu undivided Family			13	2134900			2134900	42.696	2134900	2134900	42.696						1059700
	ANIL NAHATA			30000			30000	0.600	30000	30000	0.600						0.600
	AVINASH NAHATA			30000			30000	0.600	30000	30000	0.600						0.600
	BALCHAND SINGHI			203400			203400	4.068	203400	203400	4.068						4.068
	HATHI MAL NAHATA			80100			80100	1.602	80100	80100	1.602						1.602
	KAMAL SINGHI	AMIPS2603P		50100			50100	1.002	50100	50100	1.002						1.002
	MADHU SINGHI	ALSPS5065L		50000			50000	1.000	50000	50000	1.000						1.000
	PRAKASH CHAND NAHATA			80000			80000	1.600	80000	80000	1.600						1.600
	RAJ KUMAR NAHATA	ABMPN8448E		693400			693400	13.867	693400	693400	13.867						13.867
	SARIKA NAHATA	ABQPN3099M		30000			30000	0.600	30000	30000	0.600						0.600
	SAROJ DEVI NAHATA			30000			30000	0.600	30000	30000	0.600						0.600
	SHESH KARAN SINGHI	AKLPS6473J		693900			693900	13.877	693900	693900	13.877						13.877
	SUMAN NAHATA			50000			50000	1.000	50000	50000	1.000						1.000
	SUMAN SINGHI			114000			114000	2.280	114000	114000	2.280						2.280
(b) Central Government/State Government(s)																	
(c) Financial Institutions/Banks																	
(d) Any Other																	
	Bodies Corporate		2	68000			68000	1.360	68000	68000	1.360						1.360
	RACHYITA INVESTMENT PVT. LTD.			48000			48000	0.960	48000	48000	0.960						0.960
	SAMRAT MERCANTILE PVT. LTD.			20000			20000	0.400	20000	20000	0.400						0.400
	Trusts																
	Clearing Members																
Sub-Total (A) (1)			15	2202900			2202900	44.056	2202900	2202900	44.056						1059700
(2) Foreign																	
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																	
(b) Government																	
(c) Institutions																	
(d) Foreign Portfolio Investor																	
(e) Any Other																	
	OCBs																
Sub-Total (A) (2)																	
Total Shareholding of Promoter and Promoter Group (A) = (A) (1) + (A) (2)			15	2202900			2202900	44.056	2202900	2202900	44.056						1059700

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding (Including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									No. of Voting Rights							
									Class X	Class Y	Total					
(1)	Institutions						(VII)=									
(a)	Mutual Funds						(IV)+(V)+(VI)	(VIII)								
	CRB TRUSTEE LTD A/C CRB MUTUAL FUND		2	83500			83500	1.670	83500	83500	1.670					
	Venture Capital Funds															
	Alternate Investment Funds															
	Foreign Venture Capital Investors															
	Foreign Portfolio Investors															
	Financial Institutions/Banks															
	Insurance Companies															
	Provident Funds/Pension Funds															
	Any Other															
	Foreign Institutional Investors															
	QFI - Corporate															
	Sub-Total (B) (1)		2	83500			83500	1.670	83500	83500	1.670					
(2)	Central Government/State Government(s)/President of India															
	Sub-Total (B) (2)															
(3)	Non-Institutions															
(a)	Individuals															
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		3283	1546800			1546800	30.935	1546800	1546800	30.935				357400	
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		3	305000			305000	6.100	305000	305000	6.100				30000	
	RAKHEE SHYAMSUKHA			250000			250000	5.000	250000	250000	5.000					
	NBFCs registered with RBI															
	Employee Trusts															
	Overseas Depositors (holding DRs) (balancing figure)															
	Any Other															
	Bodies Corporate		54	861100			861100	17.221	861100	861100	17.221				208100	
	GDB SHARE & STOCK BROKING SERVICES LTD.			200000			200000	4.000	200000	200000	4.000					
	HUNGERFORD CONSULTANTS PRIVATE LIMITED			80500			80500	1.610	80500	80500	1.610				80500	
	M H FINANCE AND MERCANTILE PRIVATE LTD			83400			83400	1.668	83400	83400	1.668					
	PAGARIA HOLDING PVT. LTD.			67500			67500	1.350	67500	67500	1.350					
	VICCO PRODUCTS BOMBAY PVT LTD			65600			65600	1.312	65600	65600	1.312				65600	
	WELLNORTH MULTYFIN (P) LTD			98400			98400	1.968	98400	98400	1.968					
	QFI - Individual															
	NRI - Repatriable															
	NRI - Non-Repatriable															

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Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding	Shareholding as % assuming full conversion of convertible securities (including Warrants) (X) as a % of (A) + (B) + (C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									No. of Voting Rights							
									Class X	Class Y	Total					
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)								
	OCBs Trusts Clearing Members IEPF Authority		5	900			900	0.018	900	900	0.018	0.018			900	
	Sub-Total (B) (3)		3345	2713800			2713800	54.274	2713800	2713800	54.274	54.274			596400	
	Total Public Shareholding (B) = (B) (1) + (B) (2) + (B) (3)		3347	2797300			2797300	55.944	2797300	2797300	55.944	55.944			596400	

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Shares outstanding	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (X) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Shares Placed or otherwise held in demat form (XIV)
									Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=	(IV)+(V)+(VI)	(VIII)								

- (1) Custodian DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014

Total Non-Promoter - Non-Public Shareholding (C)=(C)(1)+(C)(2)