

Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrip Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 30/06/2018

Particulars	Yes No

1 Whether the Listed Equity has issued any Partly Paid up shares?	No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?	No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?	No
4 Whether the Listed Equity has any shares in Locked-in?	No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?	No

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Name of shareholders	Nos. of Shares	No. of Fully Paid-up Equity	No. of Partly Paid-up Equity	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying securities	Shareholding as % assuming full conversion of convertible securities	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form
								Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)	(A)	(B)	(C)	(X)	(XI) = (VII) + (X)	(A) + (B) + (C)	(XIV)	
(A)	Promoter & Promoter Group	15	2232900			2232900		44.656	2232900	2232900	44.656	44.656		779700	
(B)	Public	3346	2767300			2767300		55.344	2767300	2767300	55.344	55.344		566400	
(C)	Non Promoter - Non Public														
(C1)	Shares underlying DRs														
(C2)	Shares held by Employee Trusts														
TOTAL		3361	5000200			5000200		100.000	5000200	5000200	100.000	100.000		1346100	

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding	Shareholding % assuming full conversion of convertible securities	Number of Locked in shares (XII)	Number of Shares pledged or encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									Class X	Class Y	Total					
(1) Indian							(VII)=	(VIII)				(X)	(XI)=(VII)+(X)			
(a) Individuals/Hindu undivided Family							(IV)+(V)+(VI)	(VIII)				(X)	(XI)=(VII)+(X)			
	ANIL NAHATA			30000				30000	0.600	30000	30000	0.600	0.600			
	AVINASH NAHATA			30000				30000	0.600	30000	30000	0.600	0.600			
	BAICHAND SINGHI			203400				203400	4.068	203400	203400	4.068	4.068			
	BATHI MAL NAHATA			80100				80100	1.602	80100	80100	1.602	1.602			
	KAMAL SINGHI	AMIPS2603P		50100				50100	1.002	50100	50100	1.002	1.002			50000
	MADHU SINGHI			50000				50000	1.000	50000	50000	1.000	1.000			
	PRAKASH CHAND NAHATA			80000				80000	1.600	80000	80000	1.600	1.600			
	RAJ KUMAR NAHATA	ABMPN8448E		723400				723400	14.467	723400	723400	14.467	14.467			235800
	SARIKA NAHATA			30000				30000	0.600	30000	30000	0.600	0.600			
	SAROJ DEVI NAHATA			30000				30000	0.600	30000	30000	0.600	0.600			
	SHESH KARAN SINGHI	AKLPS6473J		693900				693900	13.877	693900	693900	13.877	13.877			493900
	SUMAN NAHATA			50000				50000	1.000	50000	50000	1.000	1.000			
	SUMAN SINGHI			114000				114000	2.280	114000	114000	2.280	2.280			
(b) Central Government/State Government(s)																
(c) Financial Institutions/Banks																
(d) Any Other																
	Bodies Corporate		2	68000				68000	1.360	68000	68000	1.360	1.360			
	RACHYITA INVESTMENT PVT. LTD.			48000				48000	0.960	48000	48000	0.960	0.960			
	SAMRAT MERCANTILE PVT. LTD.			20000				20000	0.400	20000	20000	0.400	0.400			
	Trusts															
	Clearing Members															
Sub-Total (A) (1)			15	2232900				2232900	44.656	2232900	2232900	44.656	44.656			779700
(2) Foreign																
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																
(b) Government																
(c) Institutions																
(d) Foreign Portfolio Investor																
(e) Any Other																
	OCBs															
Sub-Total (A) (2)																
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2)			15	2232900				2232900	44.656	2232900	2232900	44.656	44.656			779700

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding [Calculated as per SCRB, 1957] [As a % of (A+B+C2)]	Number of Voting Rights held in each class of securities (IX)			No. of Shares [Underlying] [outstanding]	Shareholding as % assuming full conversion of securities (X)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									Class X	Class Y	Total					
(1)	Institutions															
(a)	Mutual Funds		2	83500			83500	1.670	83500	83500	1.670					
	CRB TRUSTEE LTD A/C CRB MUTUAL FUND			73400			73400	1.468	73400	73400	1.468					
(b)	Venture Capital Funds															
(c)	Alternate Investment Funds															
(d)	Foreign Venture Capital Investors															
(e)	Foreign Portfolio Investors															
(f)	Financial Institutions/Banks															
(g)	Insurance Companies															
(h)	Provident Funds/Pension Funds															
(i)	Any Other Foreign Institutional Investors															
	QFI - Corporate															
	IEPF Authority															
	Sub-Total (B) (1)		2	83500			83500	1.670	83500	83500	1.670					
(2)	Central Government/State Government(s)/President of India															
	Sub-Total (B) (2)															
(3)	Non-Institutions															
(a)	Individuals															
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		3284	1546800			1546800	30.935	1546800	1546800	30.935					357400
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		2	275000			275000	5.500	275000	275000	5.500					
	RAKHEE SHYAMSUKHA			250000			250000	5.000	250000	250000	5.000					
(b)	NBFCs registered with RBI															
(c)	Employee Trusts															
(d)	Overseas Depositors (holding DRs) (balancing figure)															
(e)	Any Other															
	Bodies Corporate		53	860600			860600	17.211	860600	860600	17.211					207600
	GDB SHARE & STOCK BROKING SERVICES LTD			200000			200000	4.000	200000	200000	4.000					
	HUNGERFORD CONSULTANTS PRIVATE LIMITED			80500			80500	1.610	80500	80500	1.610					80500
	M H FINANCE AND MERCANTILE PRIVATE LTD			83400			83400	1.668	83400	83400	1.668					
	PAGARIA HOLDING PRIVATE LTD. AAFCB3996C			67500			67500	1.350	67500	67500	1.350					
	VICCO PRODUCTS BOMBAY PRIVATE LTD AAACV4446N			65600			65600	1.312	65600	65600	1.312					65600
	WELLSWORTH MULTYFIN (P) LTD			98400			98400	1.968	98400	98400	1.968					
	QFI - Individual															
	NRI - Repatriable															

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding	Shareholding as % assuming full conversion of securities	Number of Locked in shares (XII)	Number of Shares pledged or encumbered (XIII)	Number of Equity Shares Held in demat form
									Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=	(IV)+(V)+(VI)	(VIII)				(X)	(XI)=(VII)+(X)			(XIV)

NRI - Non-Repatriable																
OCBs																
Trusts																
Clearing Members																
			5	1400			1400	0.028	1400	1400	0.028		0.028			1400

Sub-Total (B) (3)			3344	2683800		2683800	53.674	2683800	2683800	53.674	53.674					566400

Total Public Shareholding (B)			3346	2767300		2767300	55.344	2767300	2767300	55.344	55.344					566400

=(B) (1)+(B) (2)+(B) (3)																

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	No. of Shares Held	Total Nos. (VII)= (IV)+(V)+(VI)	Shareholding Calculated as per SCRB, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying securities (including Warrants)	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (X)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
										Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=	(VIII)	(IX)	(X)	(XI)=(VII)+(X)	(A)+(B)+(C)						

- (1) Custodian, DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014

Total Non-Promoter - Non-Public Shareholding (C)=(C)(1)+(C)(2)